IN THE UNITED STATES PATENT-AND TRADEMARK OFFICE

In re PATENT application of

Shunpei YAMAZAKI et al.

Serial No. 09/255,777

Filed: February 23, 1999

For: SEMICONDUCTOR DEVICE AND

METHOD FOR FORMING THE SAME

Examiner: R. Booth

Art Unit: 2812

CERTIFICATE OF MAILING

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INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents and Trademarks Washington, D.C. 20231

Sir:

In accordance with the provisions of 37 C.F.R. 1.56 and 37 C.F.R. 1.97-1.99, it is requested that the reference listed on the attached Form PTO-1449 be made of record in the above-identified application.

The reference listed on the attached Form PTO-1449 was cited in the corresponding Japanese Patent Application of the subject application on November 27, 2001. A copy of this reference is submitted herewith in accordance with 37 C.F.R. 1.98(a).

In accordance with 37 C.F.R. § 1.97(e), it is certified that either (1) each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this information disclosure statement, or (2) no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign patent application and no item of information contained in the information disclosure statement was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this information disclosure statement.

The Commissioner is hereby authorized to charge fees under 37 C.F.R. §§1.16, 1.17, 1.20(a), 1.20(b), 1.20(c), and 1.20(d) (except the Issue Fee) which may be required now or hereafter, or credit any overpayment to Deposit Account No. 19-2380 (740756-1936).

Respectfully submitted,

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)		Application Number		09/255,777
		Filing Date		February 23, 1999
		First Named Inventor		Shunpei YAMAZAKI et al.
		Group Art Unit		2812
		Examiner Name		R. Booth
Total Number of Pages in This Submission		Attorney Docket Number		740756-1936
ENCLOSURES (check all that apply)				
Fee Transmittal Form Fee Attached Amendment / Reply After Final Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Assignm (for an A) Drawing Declarat Licensir Petition Applica Power o Change Termina Request	nent Papers Application) g(s) tion and Power of Attorney ag-related Papers to Convert to a Provisional tion f Attorney, Revocation of Correspondence Address al Disclaimer for Refund mber of CD(s) The Commissioner is be	ayme	After Allowance Communication to Group Other 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7 7
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